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8 UNITED STATES DISTRICT COURT
9 CENTRAL DISTRICT OF CALIFORNIA
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11 ELISABETH Z.,

12 Plaintiff,

13 v.

14 NANCY A. BERRYHILL, Acting
15 Commissioner of Social Security
Administration,

16 Defendant.
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18
19

Case No. SA CV 17-489-SP

MEMORANDUM OPINION AND
ORDER

20 **I.**

INTRODUCTION

21 On March 17, 2017, plaintiff Elizabeth Z. filed a complaint against
22 defendant, Commissioner of the Social Security Administration, seeking a review
23 of a denial of period of disability and disability insurance benefits (“DIB”). The
24 court deems the matter suitable for adjudication without oral argument.

25 Plaintiff presents one issue for decision, whether the Administrative Law
26 Judge (“ALJ”) properly rejected plaintiff’s testimony. *See* Memorandum in
27 Support of Plaintiff’s Complaint (“P. Mem.”) at 4-18; Memorandum in Support of
28 Defendant’s Answer (“D. Mem.”) at 4-7.

1 Having carefully studied the parties' memoranda, the decision of the ALJ,
2 and the Administrative Record ("AR"), the court concludes that, as detailed
3 herein, although some of the ALJ's reasons were not clear and convincing, the
4 ALJ provided two clear and convincing reasons for rejecting plaintiff's testimony.
5 Consequently, the court affirms the decision of the Commissioner denying
6 benefits.

7 II.

8 FACTUAL AND PROCEDURAL BACKGROUND

9 Plaintiff, who was thirty-five years old on her alleged disability benefit
10 onset date, has a bachelor degree and completed one quarter of the work required
11 for a master degree. AR at 142, 921-22. She has past relevant work as a nurse and
12 health educator. *Id.* at 947.

13 On May 17, 2005, plaintiff protectively filed an application for a period of
14 disability and DIB. *Id.* at 142-44, 817. Plaintiff alleged she had been disabled
15 since September 15, 2001 due to fibromyalgia, chronic fatigue syndrome,
16 depression, and bilateral achilles tendonitis. *Id.* at 142, 244. The application was
17 denied initially and upon reconsideration, after which plaintiff filed a request for a
18 hearing. *Id.* at 127, 128-32, 134-37.

19 On September 11, 2007, plaintiff, represented by counsel, appeared and
20 testified at a hearing before an ALJ. *Id.* at 769-89. The ALJ also heard testimony
21 from a vocational expert. *Id.* at 785-89. On November 28, 2007, the ALJ denied
22 plaintiff's claim for benefits. *Id.* at 36-42. The Appeals Council remanded the
23 case for further review on April 6, 2010. *Id.* at 29-31.

24 On January 10, 2011, plaintiff appeared before the ALJ for another hearing.
25 *Id.* at 790-808. On February 9, 2011, the ALJ again denied plaintiff's claim for
26 benefits. *Id.* at 13-25. The Appeals Council denied plaintiff's request for review.
27 *Id.* at 3-5. Plaintiff then appealed her case to this court. *Id.* at 841-44. In
28 September 2013, this court remanded the case back to the agency for further

1 administrative proceedings, pursuant to the parties' stipulation. *Id.* at 846-50.

2 On December 16, 2014, plaintiff, represented by counsel, appeared and
3 testified before another ALJ. *Id.* at 919-52. At the hearing, plaintiff informed the
4 ALJ she was seeking disability benefits for the closed period between December 1,
5 2004 and April 2009. *Id.* at 929; *see id.* at 792-93. The ALJ also heard testimony
6 from Glenn Griffin, a medical expert, and from Alan Ey, a vocational expert. *Id.*
7 at 934-43, 946-52. On May 27, 2015, the ALJ denied plaintiff's application for
8 benefits. *Id.* at 817-36.

9 Applying the well-known five-step sequential evaluation process, the ALJ
10 found, at step one, that plaintiff had not engaged in substantial gainful activity
11 during the period of adjudication (since her alleged onset date of September 15,
12 2001 through her date last insured of December 31, 2004). *Id.* at 821. The ALJ
13 noted plaintiff returned to work in April 2009. *Id.*

14 At step two, the ALJ found plaintiff suffered from the following severe
15 impairments: fibromyalgia and obesity. *Id.*

16 At step three, the ALJ found plaintiff's impairments, whether individually
17 or in combination, did not meet or medically equal the severity of one of the listed
18 impairments set forth in 20 C.F.R. part 404, Subpart P, Appendix 1.¹ *Id.* at 827.

19 The ALJ then assessed plaintiff's residual functional capacity ("RFC"),² and
20 determined plaintiff had the RFC to perform light work except: she could only

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22 ¹ The Social Security Administration issued new regulations effective March
23 27, 2017. Unless otherwise stated, all regulations cited in this decision are to
24 those effective for cases filed prior to March 27, 2017.

25 ² Residual functional capacity is what a claimant can do despite existing
26 exertional and nonexertional limitations. *Cooper v. Sullivan*, 880 F.2d 1152,
27 1155-56 n.5-7 (9th Cir. 1989). "Between steps three and four of the five-step
28 evaluation, the ALJ must proceed to an intermediate step in which the ALJ
assesses the claimant's residual functional capacity." *Massachi v. Astrue*, 486
F.3d 1149, 1151 n.2 (9th Cir. 2007).

1 occasionally climb stairs, bend, balance, stoop, kneel, crouch, and crawl; she could
2 not climb ladders, ropes, or scaffolding; and she needed to avoid concentrated
3 exposure to heights, fast moving machinery, and temperature extremes of cold or
4 heat. *Id.* at 827.

5 The ALJ found, at step four, that plaintiff was capable of performing past
6 relevant work as a health educator. *Id.* at 835. The ALJ therefore concluded
7 plaintiff was not suffering from a disability as defined in the Social Security Act at
8 any time from September 15, 2001 through December 31, 2004. *Id.* at 835-36.

9 Plaintiff filed a timely request for review of the ALJ's decision, which was
10 denied by the Appeals Council. *Id.* at 808A-11. The ALJ's decision stands as the
11 final decision of the Commissioner.

12 III.

13 STANDARD OF REVIEW

14 This court is empowered to review decisions by the Commissioner to deny
15 benefits. 42 U.S.C. § 405(g). The findings and decision of the Commissioner
16 must be upheld if they are free of legal error and supported by substantial
17 evidence. *Mayes v. Massanari*, 276 F.3d 453, 458-59 (9th Cir. 2001) (as
18 amended). But if the court determines that the ALJ's findings are based on legal
19 error or are not supported by substantial evidence in the record, the court may
20 reject the findings and set aside the decision to deny benefits. *Aukland v.*
21 *Massanari*, 257 F.3d 1033, 1035 (9th Cir. 2001); *Tonapetyan v. Halter*, 242 F.3d
22 1144, 1147 (9th Cir. 2001).

23 “Substantial evidence is more than a mere scintilla, but less than a
24 preponderance.” *Aukland*, 257 F.3d at 1035. Substantial evidence is such
25 “relevant evidence which a reasonable person might accept as adequate to support
26 a conclusion.” *Reddick v. Chater*, 157 F.3d 715, 720 (9th Cir. 1998); *Mayes*, 276
27 F.3d at 459. To determine whether substantial evidence supports the ALJ's
28 finding, the reviewing court must review the administrative record as a whole,

1 “weighing both the evidence that supports and the evidence that detracts from the
2 ALJ’s conclusion.” *Mayes*, 276 F.3d at 459. The ALJ’s decision “‘cannot be
3 affirmed simply by isolating a specific quantum of supporting evidence.’”
4 *Aukland*, 257 F.3d at 1035 (quoting *Sousa v. Callahan*, 143 F.3d 1240, 1243 (9th
5 Cir. 1998)). If the evidence can reasonably support either affirming or reversing
6 the ALJ’s decision, the reviewing court “‘may not substitute its judgment for that
7 of the ALJ.’” *Id.* (quoting *Matney v. Sullivan*, 981 F.2d 1016, 1018 (9th Cir.
8 1992)).

9 IV.

10 DISCUSSION

11 Plaintiff argues the ALJ erred in rejecting her testimony. P. Mem. at 4-18.
12 Specifically, she argues the ALJ failed to articulate clear and convincing reasons
13 for the rejection. *Id.* at 4. The court disagrees and finds the ALJ properly rejected
14 plaintiff’s subjective complaints.

15 The ALJ must make specific credibility findings, supported by the record.
16 Social Security Ruling (“SSR”) 96-7p.³ To determine whether testimony
17 concerning symptoms is credible, the ALJ engages in a two-step analysis.
18 *Lingenfelter v. Astrue*, 504 F.3d 1028, 1035-36 (9th Cir. 2007). First, the ALJ must
19 determine whether a claimant produced objective medical evidence of an
20 underlying impairment “‘which could reasonably be expected to produce the pain
21 or other symptoms alleged.’” *Id.* at 1036 (quoting *Bunnell v. Sullivan*, 947 F.2d
22 341, 344 (9th Cir. 1991) (en banc)). Second, if there is no evidence of malingering,

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24 ³ “The Commissioner issues Social Security Rulings to clarify the Act’s
25 implementing regulations and the agency’s policies. SSRs are binding on all
26 components of the SSA. SSRs do not have the force of law. However, because
27 they represent the Commissioner’s interpretation of the agency’s regulations, we
28 give them some deference. We will not defer to SSRs if they are inconsistent with
the statute or regulations.” *Holohan v. Massanari*, 246 F.3d 1195, 1203 n.1 (9th
Cir. 2001) (internal citations omitted).

1 an “ALJ can reject the claimant’s testimony about the severity of her symptoms
2 only by offering specific, clear and convincing reasons for doing so.” *Smolen v.*
3 *Chater*, 80 F.3d 1273, 1281 (9th Cir. 1996); *accord Burrell v. Colvin*, 775 F.3d
4 1133, 1136-37 (9th Cir. 2014). The ALJ may consider several factors in weighing
5 a claimant’s credibility, including: (1) ordinary techniques of credibility evaluation
6 such as a claimant’s reputation for lying; (2) the failure to seek treatment or follow
7 a prescribed course of treatment; and (3) a claimant’s daily activities. *Tommasetti*
8 *v. Astrue*, 533 F.3d 1035, 1039 (9th Cir. 2008); *Bunnell*, 947 F.2d at 346-47.

9 At the first step, the ALJ found plaintiff’s medically determinable
10 impairments could reasonable be expected to cause some of the symptoms alleged.
11 AR at 830. At the second step, because the ALJ did not find any evidence of
12 malingering, the ALJ was required to provide clear and convincing reasons for
13 discounting plaintiff’s testimony. Here, the ALJ discounted plaintiff’s testimony
14 because: (1) she made inconsistent statements; (2) her subjective reports of
15 symptoms were not supported by objective evidence; and (3) there was evidence of
16 noncompliance with medical advice and treatment. *Id.* at 828-29.

17 **A. Law of the Case**

18 As an initial matter, plaintiff contends the ALJ erred in discounting
19 plaintiff’s testimony under the law of the case doctrine. When plaintiff was
20 previously before this court, the court ordered the case remanded to the
21 Commissioner based on the parties’ Stipulation to Voluntary Remand. *See* case no.
22 SA CV 12-1645-SP, docket nos. 28, 29. In the stipulation, the parties agreed that
23 on remand the ALJ should be directed to, inter alia, “further evaluate Plaintiff’s
24 credibility” and “symptoms” in accordance with SSR 96-7p. *Id.*, docket no. 28 at
25 2. Plaintiff maintains this stipulation constitutes an acknowledgment that the
26 ALJ’s credibility assessment in the February 9, 2011 decision was not legally
27 sufficient. Further, plaintiff argues that the credibility assessment in the instant
28 ALJ decision gives the same reasons as the prior decision’s assessment, and

1 therefore is necessarily erroneous under the law of this case. P. Mem. at 7-8.

2 “The law of the case doctrine generally prohibits a court from considering an
3 issue that has already been decided by that same court or a higher court in the same
4 case.” *Stacy v. Colvin*, 825 F.3d 563, 567 (9th Cir. 2016) (citation omitted). This
5 doctrine applies in the social security context. *Id.* For a prior ruling to become the
6 law of the case, “the issue in question must have been decided explicitly or by
7 necessary implication in the previous disposition.” *Herrington v. County of*
8 *Sonoma*, 12 F.3d 901, 904 (9th Cir. 1993) (citation omitted).

9 Here, the parties’ stipulation to remand the case was not an explicit decision
10 by this court that the ALJ’s initial evaluation of plaintiff’s credibility was
11 insufficient. The parties’ stipulation agreed the ALJ should look at a number of
12 issues, not just the issue of plaintiff’s credibility, and made no explicit findings as
13 to whether those issues had been erroneously decided. To conclude that the
14 parties’ agreement necessarily implies the initial evaluation of plaintiff’s credibility
15 was insufficient requires an unwarranted logical leap.

16 Even assuming the direction on remand necessarily implies the ALJ’s initial
17 consideration of plaintiff’s credibility was insufficient, as defendant argues, the
18 ALJ’s credibility analysis here was not identical to the analysis in the 2011
19 decision. The ALJ included additional analysis in the 2015 opinion that was not
20 included in the previous ALJ’s 2011 opinion.

21 In 2011, the ALJ found plaintiff not credible due to plaintiff’s internal
22 inconsistent statements in a Function Report, inconsistent statements about her
23 weight loss and weight gain to various physicians, and inconsistent statements
24 about her living activities. AR at 19-20. The ALJ also found plaintiff’s allegations
25 with respect to her depression, poor memory, lack of concentration and focus,
26 restless leg syndrome, and pain were not supported by objective evidence in the
27 record. *Id.* at 20-21. Lastly, the ALJ noted evidence of noncompliance
28 undermined plaintiff’s credibility. *Id.* at 21.

1 In 2015, the ALJ noted largely the same reasons for discounting plaintiff's
2 credibility. *See id.* at 828-30. But the ALJ did additional analysis with respect to
3 plaintiff's mental abilities. Specifically, the ALJ noted even though plaintiff
4 reported she frequently forgot her appointments, there was nothing to suggest she
5 had significant problems with her memory. *Id.* at 829. Furthermore, the ALJ also
6 noted plaintiff had provided a point-by-point rebuttal of the ALJ's previous
7 decision, which had been more specific and persuasive than her own counsel's
8 brief. *Id.* The ALJ further reasoned that if plaintiff's memory were as poor as she
9 alleged, then she would have taken other steps to remember her appointments, such
10 as writing down reminders. *Id.* This additional analysis bolstered the ALJ's
11 evaluation of plaintiff's credibility. And as discussed below, the ALJ's finding that
12 plaintiff did not adequately explain missing her appointments was a clear and
13 convincing reason for discounting her testimony.

14 Accordingly, the law of the case does not determine whether the ALJ erred
15 in her credibility analysis here. The court therefore turns to the reasons given by
16 the ALJ for discounting plaintiff's testimony

17 **B. Inconsistent Statements**

18 The ALJ identifies several instances of plaintiff's purported inconsistent
19 statements. First, the ALJ notes plaintiff reported she was unable to leave her
20 house alone, but she also stated she was able to go to doctor's appointments and to
21 the store by herself on a regular basis without any assistance. *Id.* at 828. The ALJ
22 was correct that plaintiff indicated in an October 21, 2005 function report she was
23 "unable to leave [her] house at this time because [she] was taking medications that
24 impair[ed] [her] judgment and reactions. *Id.* at 216. But in the same report, when
25 plaintiff stated she regularly goes to doctor appointments and the store, plaintiff did
26 not in fact indicate whether she goes to these places on her own. *See id.* at 218.
27 The ALJ also noted plaintiff told a consultative examiner on August 5, 2010 she
28 could travel by car and go out alone. *Id.* at 828 (citing *id.* at 762). But nearly five

1 years passed between plaintiff's October 21, 2005 function report and her August
2 5, 2010 statement to the consultative examiner, and by 2009 plaintiff was back to
3 work and does not claim she was then disabled. Given the passage of time between
4 the two statements, there is no inconsistency.

5 Second, the ALJ found plaintiff reported inconsistent weight gains and
6 losses. AR at 828. In October 2010, plaintiff told a consultative examiner she had
7 "gained a lot of weight because of medication," but also that her appetite had
8 recently decreased and she lost ten pounds in one month. *Id.* at 762. This is not
9 necessarily inconsistent, since plaintiff may have gained weight overall but
10 subsequently lost weight in a specific month. The ALJ also noted plaintiff reported
11 weight loss to some physicians and weight gain to others, citing various medical
12 reports. *Id.* at 828 (citing *id.* at 331, 679, 733). On February 13, 2002, Dr.
13 Geoffrey Dolan's report indicated plaintiff was "concerned regarding weight gain."
14 *Id.* at 331. On February 24, 2008, Dr. Dolan noted a trial of Lyrica was associated
15 with weight gain. *Id.* at 679. Six years passed between Dr. Dolan's two reports,
16 and in any event, both reports indicate either a concern with weight gain or
17 medication associated with weight gain. The ALJ also cites to a December 3, 2008
18 emergency room visit, at which the hospital reported plaintiff's endocrine was
19 "negative for neck swelling, polydipsia, polyuria, polyphagia, and marked weight
20 changes." *See id.* at 732-33. A reported lack of marked weight change in 2008
21 does not support the ALJ's point. Thus, there is no genuine inconsistency in
22 plaintiff's reports of weight gain and loss.

23 Third, the ALJ also noted plaintiff reported pain symptoms during the closed
24 period that limited her daily activities so she required assistance from friends to
25 perform household chores, was bedridden as a result of pain symptoms for the
26 majority of the day, and rarely left the house unless it was for a doctor's
27 appointment. *Id.* at 828. The ALJ found this inconsistent with reports she walked
28 a lot, ate a reasonable diet, and exercised as much as she could. *Id.* at 828 (citing

1 *id.* at 276, 691).

2 Because the ALJ failed to cite to the record for plaintiff's testimony about
3 her limited daily activities, the court can only guess as to which testimony she was
4 referring. Plaintiff did not testify about her daily activities at her most recent
5 December 10, 2014 hearing. *See id.* at 917-52. But plaintiff did testify about her
6 limited daily activities in her previous supplemental hearing on January 10, 2011.
7 *Id.* at 790, 799. She testified she would go back to sleep for three or four hours
8 after taking her morning medication but tried to be awake when her daughter came
9 home from school to spend time with her. *Id.* at 799. She would then watch a
10 movie after her daughter's homework was done and she would go to sleep soon
11 after that. *Id.* Plaintiff also testified she rarely left the house during the closed
12 period, unless it was for a doctor's appointment. *Id.* at 799.

13 Plaintiff told Dr. Minou Tran on January 31, 2006 she was concerned about
14 her weight gain despite eating a reasonable diet and exercising as much as she
15 could. *Id.* at 276. Although it certainly appears plaintiff spent many hours sleeping
16 during this period, her testimony of spending time with her daughter and watching
17 movies by no means indicates she was "mostly bedridden," as the ALJ
18 characterized it, although it does suggest she spent very little time on her feet.
19 There is some inconsistency between this testimony and plaintiff's statements to
20 Dr. Tran she ate a reasonable diet and exercised as much as she could. Sleeping
21 many hours of the day and eating a reasonable diet are not mutually exclusive, nor
22 are sleeping many hours and exercising as much as possible when not in bed. Even
23 so, plaintiff's testimony that she spent most of her time sleeping or watching
24 movies and rarely left the house contrasts rather sharply with her statements to Dr.
25 Tran that she exercised as much as she could.

26 The ALJ also cites to an October 2009 report from St. Jude Medical Center
27 which indicates plaintiff said she walked a lot. *Id.* at 691. But as plaintiff points
28 out, this report is dated after the closed period end date of April 2009. It stands to

1 reason plaintiff's abilities had improved by then. Furthermore, this report is
2 outside the timeframe of the relevant inquiry. There is thus no inconsistency.

3 Therefore, the ALJ's rejection of plaintiff's testimony because she made
4 inconsistent statements was for the most part not supported by substantial evidence,
5 although there was some inconsistency in her testimony as to how she spent her
6 days versus her statements to her doctor of trying to exercise.

7 **C. Lack of Supporting Objective Medical Evidence**

8 The ALJ found plaintiff's allegations of depression, restless leg syndrome,
9 and pain were not credible because they were not supported by objective findings
10 in the record. *Id.* at 828.

11 With respect to depression, the ALJ noted there is no evidence of any mental
12 health treatment from September 15, 2001 thorough December 31, 2004, the date
13 last insured. *Id.* at 823. She noted there are mental health records beginning in
14 2005, but this was after plaintiff's date last insured. *Id.* at 823, 825. Plaintiff seeks
15 disability benefits for the closed period from December 2004 through April 2009,
16 when she returned to work. *Id.* at 929. But to qualify, plaintiff's depression or
17 other impairments must have begun before the date last insured of December 31,
18 2004. The records reflect plaintiff's depression did not.

19 At the December 16, 2014 hearing, the ALJ questioned the medical expert
20 and plaintiff about records relating to plaintiff's depression. *Id.* at 935-44. The
21 medical expert testified the records showed plaintiff suffered from major
22 depressive disorder of moderate severity starting in November 2005. *Id.* at 935.
23 He testified the psychiatric record then falls silent until August of 2010. *Id.* at 936.
24 Plaintiff testified she saw Dr. Alan Liberman for depression. *Id.* at 943-44.

25 On November 9, 2005, Dr. Liberman examined plaintiff and diagnosed her
26 with major depressive disorder and generalized anxiety disorder. *Id.* at 415. He
27 noted plaintiff reported problems with concentration, organization, energy, and
28 depression. *Id.* at 419. Dr. Liberman opined plaintiff would be severely limited

1 with respect to sustaining focused attention, and completing normal activities
2 because she has had marked difficulty following and understanding billing
3 instructions and appointment times at his office. *Id.* He noted plaintiff appeared so
4 depressed and agitated he suspected she would not be able to adequately respond to
5 the stresses common to a work environment. *Id.* at 420. Dr. Liberman does not
6 indicate when he first began treating plaintiff. *See id.* at 415. But Dr. Liberman
7 does report he first saw plaintiff for individual therapy “several years ago” and
8 plaintiff was also court ordered to return to see him in 2005, although he does not
9 have a copy of the exact date. *Id.* at 418.

10 The ALJ also noted Dr. Gregory Wolf completed a mental health assessment
11 for plaintiff in August 2006. *Id.* at 824. She notes, “[a]lthough Dr. Wolf was
12 advised this form pertained to the claimant’s abilities on or before December 31,
13 2004, he stated his responses reflected *current* functioning.” *Id.* But the forms
14 clearly state Dr. Wolf is aware they represent plaintiff’s abilities on or before
15 December 31, 2004, and in addition, the assessment also reflects current
16 functioning. *Id.* at 394, 395, 397. Dr. Wolf diagnosed plaintiff with fibromyalgia,
17 chronic fatigue syndrome, depression, and alpha-delta sleep disorder. *Id.* at 395.
18 He indicated any one of these alone would cause depression but the disorders
19 combined caused overwhelming fatigue that would prevent plaintiff from working
20 full-time at a sedentary position. *Id.* Dr. Wolf also opined plaintiff would have
21 marked restrictions of activities of daily living, extreme difficulties in maintaining
22 social functioning, marked deficiencies of concentration, persistence, or pace, and
23 repeated episodes of decompensation, each of extended duration. *Id.* at 397.

24 The ALJ also noted Dr. Jeanette Townsend, a consultative psychologist,
25 concluded plaintiff had major depression in partial remission in August 2010, and
26 Dr. Charlene Kreig, another consultative psychologist, concluded in April 2014
27 there was no basis for any diagnosis. *Id.* at 825 (citing *id.* at 765, 909).

28 The ALJ assigned each of the above opinions little weight. *Id.* at 824-25,

1 831-32. Plaintiff does not challenge the ALJ's evaluation of these opinions.
2 Without these rejected opinions, all of plaintiff's allegations of depression prior to
3 the date last insured of December 31, 2004 are unsupported by the objective
4 medical evidence in the record. The ALJ's mistaken belief that Dr. Wolf's mental
5 health assessment forms only reflected current functioning, even though the forms
6 state they also represent plaintiff's abilities prior to December 31, 2004, is moot
7 because the ALJ assigned Dr. Wolf's report little weight.

8 The ALJ also found a lack of evidentiary support for plaintiff's allegations of
9 restless leg syndrome. *Id.* at 828. She noted plaintiff participated in a sleep study
10 on April 16, 2003, and no periodic limb movements were seen. *Id.* at 827 (citing
11 *id.* at 338). Dr. Peter Fotinakes confirmed this observation in a July 2003 report,
12 and further noted plaintiff's husband never noticed limb movements when plaintiff
13 slept. *Id.* at 534. But Dr. Fotinakes also noted while it was interesting plaintiff did
14 not manifest periodic limb movements when sleeping, this occurs in 15% of
15 restless leg syndrome patients. *Id.* at 536. Dr. Fotinakes opined plaintiff's restless
16 leg syndrome was exacerbated from Zyprexa and Fentanyl. *Id.* When plaintiff
17 abruptly discontinued Fentanyl, her restless leg syndrome underwent extreme
18 exacerbation. *Id.* Dr. Fotinakes therefore switched plaintiff's medication. *Id.*
19 During a July 30, 2003 follow-up appointment, plaintiff reported Mirapex
20 alleviated her restless legs. *Id.* at 539. Dr. Fotinakes recommended plaintiff
21 continue taking Mirapex and then stopping the medication to see if there was
22 recurrence of restless leg syndrome. *Id.* During a September 16, 2003 follow-up
23 appointment, plaintiff reported she discontinued Mirapex twice and experienced
24 recurrence of restless legs both times. *Id.* at 538. Dr. Fotinakes therefore
25 recommended plaintiff continue her current medication regimen. *Id.* He reported
26 plaintiff was doing well with her nocturnal sleep and was happy with her regimen.
27 *Id.*

28 Therefore, although the ALJ was correct that plaintiff's restless leg

1 syndrome was not supported by objective medical evidence, that was not a clear
2 and convincing reason to discount plaintiff's allegations. Plaintiff's allegations of
3 restless leg syndrome were well-supported by the record given that Dr. Fotinakes
4 noted 15% of such patients experience no periodic limb movements during sleep
5 and therefore objective medical evidence is not required. Furthermore, the record
6 reflects a possible diagnosis of restless leg syndrome as early as January 25, 2002.
7 *Id.* at 323.

8 With respect to plaintiff's allegations of pain, the ALJ found her complaints
9 were not well-supported by objective findings. *Id.* at 828. The ALJ noted despite
10 various complaints of pain in the back, neck, shoulders, and hips in the medical
11 record, several examinations and assessments revealed plaintiff had a full range of
12 motion in her neck, lower extremities, cervical spine, shoulders, elbows, wrists,
13 hands, and hips. *Id.* at 828-29 (citing *id.* at 276, 332, 440). As such, the ALJ
14 concluded the majority of the physical findings demonstrated good function and
15 suggested plaintiff's complaints of debilitating pain were exaggerated. *Id.* at 829.

16 But range of motion is not determinative as to whether plaintiff's allegations
17 of pain are credible. The ALJ found plaintiff suffers from fibromyalgia, and cited
18 the ample evidence in the record to support this finding, including multiple
19 instances in which plaintiff was found to have between thirteen and eighteen of
20 eighteen positive tender points. *Id.* at 822. That there is no additional objective
21 evidence to support the severity of her pain is not a basis to discount it. Indeed,
22 fibromyalgia "is diagnosed entirely on the basis of patients' reports of pain and
23 other symptoms." *Benecke v. Barnhart*, 379 F.3d 587, 590 (9th Cir. 2004). As
24 such, purported lack of objective medical evidence was not a clear and convincing
25 reason to discount plaintiff's complaints of pain.

26 "Although lack of medical evidence cannot form the sole basis for
27 discounting pain testimony, it is a factor that the ALJ can consider in his credibility
28 analysis." *Burch v. Barnhart*, 400 F.3d 676, 681 (9th Cir. 2005). Here, the lack of

1 supporting medical evidence provided a clear and convincing reason for the ALJ to
2 reject plaintiff's subjective statements as to her depression, but not as to her
3 physical impairments.

4 **D. Noncompliance with Treatment**

5 The ALJ found the record showed significant non-compliance with
6 plaintiff's treatment regimen, including failure to take prescribed medications and
7 failure to appear at scheduled appointments. AR at 829 (citing *id.* at 367, 426, 446,
8 590, 608, 609, 688). The ALJ also noted plaintiff was discharged from physical
9 therapy because of the frequency of cancelled appointments, chronic tardiness, and
10 poor effort. *Id.* (citing *id.* at 446). She reasoned if plaintiff's symptoms were truly
11 as severe as alleged, she would have followed medical advice and treatment to
12 ensure maximum benefit. *Id.* The failure to follow a treatment plan may be a clear
13 and convincing reason for discounting a claimant's credibility. *See Molina v.*
14 *Astrue*, 674 F.3d 1104, 1113 (9th Cir. 2012) ("the individual's statements may be
15 less credible . . . if the medical reports or records show that the individual is not
16 following the treatment as prescribed and there are no good reasons for this
17 failure").

18 The ALJ cited to Dr. Liberman's report, which noted plaintiff cancelled
19 several appointments because she was too ill to attend. AR at 367. As plaintiff
20 points out, these are not unexplained cancellations. Furthermore, the record only
21 reflects one instance on January 31, 2008 or February 8, 2008 when plaintiff did
22 not take her blood pressure medication, which does not show significant failure to
23 follow the medication regimen. *Id.* at 688.

24 The ALJ cited to two unexplained appointment cancellations and no-shows
25 on February 11, 2002 and January 28, 2004, which were prior to the closed period
26 of disability, but also prior to the date last insured, and therefore during a relevant
27 period. *See id.* at 426, 606. An April 26, 2002 progress note for physical therapy
28 states plaintiff had cancelled many appointments and was chronically late to

1 appointments. *Id.* at 446. Given this, plaintiff was put on hold from physical
2 therapy until she consulted her physician. *Id.*

3 The ALJ's record citations during the closed period are from December 2004
4 through March 2005. *Id.* at 608, 609, 688. These records show plaintiff either
5 cancelled or missed seven appointments with Dr. Wolf on December 7, 2004,
6 January 5, 10, 11, 12, and 14, 2005, and March 1, 2005. *Id.* at 608, 609. The
7 March 1, 2005 cancellation was reportedly due to plaintiff's daughter's illness, but
8 the record does not otherwise reflect why plaintiff cancelled or missed her
9 appointments. A note from Dr. Liberman states plaintiff met with him only
10 sporadically due to financial limitations, but this fails to explain plaintiff's missed
11 appointments with Dr. Wolf without explanation. *See id.* at 347. And while Dr.
12 Liberman opined plaintiff missed appointments due to her marked impairment in
13 mental functioning, as discussed above, the ALJ assigned Dr. Liberman's opinion
14 little weight, which plaintiff does not challenge.

15 Moreover, there is no other medical evidence that would attribute plaintiff's
16 failures to go to her appointments to mental impairment rather than her own
17 personal preference or lack of commitment. *See Molina*, 674 F.3d at 1104. Indeed,
18 the ALJ noted that although plaintiff claimed she frequently forgot appointments,
19 the ALJ found no evidence plaintiff had any significant problem with her memory.
20 AR at 829. The ALJ also observed plaintiff seemed bright and focused, which was
21 partly shown through her detailed rebuttal of the Commissioner's previous
22 decision. *Id.* (citing *id.* at 90-97).

23 Plaintiff argues these missed appointments are fairly minimal because the
24 closed period ranges from December 2004 through April 2009. P. Mem. at 16.
25 The court disagrees. Plaintiff missed seven appointments, not an insignificant
26 number, without explanation during a four-month period. *See Calisti v. Colvin*,
27 2015 WL 7428727, at *2 (E. D. Cal. Nov. 23, 2015) (the ALJ properly considered
28 plaintiff's five missed appointments during a span of three months); *see also*

1 *Robinson v. Colvin*, 2013 WL 2429652, at *12 (C.D. Cal. June 4, 2013) (ALJ
2 properly discounted plaintiff's credibility because she failed to report to eight
3 appointments during a 1.5-year time period). Furthermore, it appears plaintiff may
4 have also cancelled additional appointments on May 25, 2005, May 31, 2005, and
5 June 17, 2005, as the record indicates plaintiff rescheduled these appointments.
6 AR at 614. Taken together, this failure to attend her appointments suggests her
7 pain and other symptoms were not as severe as she claims. Therefore, the ALJ's
8 reason for rejecting plaintiff's testimony regarding her pain and other symptoms
9 due to noncompliance with her treatment regimen is clear and convincing and
10 supported by substantial evidence.

11 As such, while substantial evidence did not support the ALJ's rejection of
12 plaintiff's testimony due to inconsistent statements (with one exception) or lack of
13 objective evidence of her physical impairments, substantial evidence does support
14 her rejection of plaintiff's testimony because of lack of evidence to show she
15 suffered from depression before the date last insured, and because of her
16 noncompliance with treatment. These were clear and convincing reasons for the
17 ALJ to find plaintiff's allegations not fully credible. The ALJ's flawed credibility
18 analysis with respect to inconsistent statements and physical impairment evidence
19 was therefore harmless. *See Stout v. Commissioner, Social Sec. Admin*, 454 F.3d
20 1050, 1055 (9th Cir. 2006) (error is harmless "where the mistake was
21 nonprejudicial to the claimant or irrelevant to the ALJ's ultimate disability
22 conclusion").

23 //

24 //

V.

CONCLUSION

IT IS THEREFORE ORDERED that Judgment shall be entered
AFFIRMING the decision of the Commissioner denying benefits, and dismissing
the complaint with prejudice.

DATED: March 29, 2019



SHERI PYM
United States Magistrate Judge